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Application No. 2002/0724

Date of Filing 06/09/2002

Applicant MOFFETT RESEARCH AND DEVELOPMENT LIMITED, an Irish company of Ardee Road, Dundalk, County Louth, Ireland

Dated this 9 day of September 2003.

*Coleen*  
An officer authorised by the  
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5020724

Application No.

FORM NO. 1

REQUEST FOR THE GRANT OF A PATENT

PATENTS ACT 1992

The Applicant(s) named herein hereby request(s)  
[ X ] the grant of a patent under Part II of the Act  
[ ] the grant of a short-term patent under Part III of the  
Act  
on the basis of the information furnished hereunder.

1. Applicant(s)

MOFFETT RESEARCH AND DEVELOPMENT LIMITED,  
Ardee Road  
Dundalk  
County Louth  
Ireland  
an Irish Company

Earlier Application

2. Title of Invention

Improvements in and relating to fork lift trucks

3. Declaration of Priority on basis of previously filed  
application(s) for same invention (Sections 25 & 26)

<u>Previous Filing Date</u>	<u>Country in or for which filed</u>	<u>Filing No.</u>
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4. Identification of Inventor(s)

Name(s) and addressee(s) of person(s) believed  
by the Applicant(s) to be the inventor(s)

Peter Anthony Drake  
an Irish Citizen of 2 Cloonanna, Knockbridge, Dundalk, County  
Louth, Ireland

5. Statement of right to be granted a patent (Section 17(2) (b))

The Applicant derives the right to apply by virtue of a Deed of Assignment dated July 11, 2002

6. Items accompanying this Request

- (i)  prescribed filing fee (Euro 125.00)
- (ii)  specification containing a description and claims  
 specification containing a description only  
 Drawings referred to in description or claims
- (iii)  An abstract
- (iv)  Copy of previous application(s) whose priority is claimed
- (v)  Translation of previous application whose priority is claimed
- (vi)  Authorisation of Agent (this may be given at 8 below if this Request is signed by the Applicant(s))

7. Divisional Application(s)

The following information is applicable to the present application which is made under Section 24 -

Earlier Application No.

Filing Date:

8. Agent

The following is authorised to act as agent in all proceedings connected with the obtaining of a patent to which this request relates and in relation to any patent granted -

Name & Address

Cruickshank & Co. at their address recorded for the time being in the register of Patent Agents is hereby appointed Agents and address for service, presently 1 Holles Street, Dublin 2.

9. Address for service (if different from that at 8)

Signed Cruickshank & Co.

By:- Michael Kuer

Executive.

Agents for the Applicant

Date 6/ 9/2002



**Introduction**

- 5 The present invention relates to a forklift for mounting on the rear of a carrying vehicle of the type comprising a U-shaped chassis having a rear leg bridged by a pair of forwardly projecting side legs, grounding engaging wheels, a driver station mounted on one chassis leg, a motor drive mounted on the other chassis leg and forks mounted on a mast assembly located between the side legs of the chassis.
- 10 The chassis invention relates particularly to the mast assembly.

Such forklift trucks are often referred to as piggy-back forklift trucks and many constructions of such forklift trucks have been sold under the Trade Mark MOUNTY. Very often, they comprise a pair of front wheels and a rear steering wheel. However, 15 equally well, two rear steering wheels are often used and in other embodiments, front steering wheels are used.

- It is known to provide a forklift of this type in which the mast assembly comprises a mast assembly in the form of a telescopic mast that can be tilted to provide reach for 20 the forklift. Such a construction of forklift is the telescopic boom forklift and is described in European Patent Specification No. 0 701 963 (Manitou). There are, however, certain problems with such a telescopic boom forklift which, while it allows extended reach for the forks of the forklift to engage a load, it has the disadvantage that as the height of the platform supporting load increases, it becomes increasingly 25 difficult for the telescopic forklift to reach sufficiently far across the platform or vehicle from which the load is being removed or placed on. Obviously, the further the load is spaced-apart from the chassis, the less weight can be carried without causing the forklift to become unstable.
- 30 The present invention is directed towards providing an improved construction of such a forklift which will overcome these problems.

**Statements of Invention**

According to the invention, there is provided a forklift for mounting on the rear of a carrying vehicle of the type comprising:-

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a U-shaped chassis having a rear leg bridged by a pair of forwardly projecting side legs,

ground engaging wheels,

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a driver station mounted on one side leg,

a motor drive mounted on the other side leg; and

15

forks mounted on a mast assembly located between the side legs of the chassis characterised in that the mast assembly comprises:-

an upright mast mounted on the chassis;

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a boom substantially orthogonal to the mast and slidable thereon;

means for raising and lowering the boom on the mast;

a fork carrier mounted on a free end of the boom carrying the forks; and

25

means for moving the fork carrier towards and away from the mast.

The advantage of this is that the forklift can be placed as near to the loading platform or trailer body as it can and thus will be less likely to tip than, for example, a 30 telescopic boom forklift. Thus, the forklift according to the present invention can have the same reach as a telescopic forklift without the disadvantage inherent therein.

Ideally, the fork carrier is mounted on a free end of the boom and the boom is movable orthogonally with respect to the mast.

Preferably, the boom is telescopic and the boom may be slidably mounted by a sleeve on the mast and an actuating ram is connected between the sleeve and the free end of the boom.

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In one embodiment of the invention, the mast is pivotally mounted on the chassis between the side legs and a tilting ram is providing for tilting of the mast relative to the chassis, which mast assembly may be movable between the side legs towards and away from the rear leg.

10

In this latter embodiment, when the mast is pivotally mounted on a support frame, a tilting ram is mounted between the support frame and the mast and the support frame is slidably mounted and movable between the side legs by a frame moving ram.

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Ideally also, the mast is telescopic and when the mast is telescopic, preferably it is hollow and has an actuating ram mounted therein. A particularly suitable form of telescopic mast is a two-part mast comprising a lower inner portion and an embracing upper outer portion and an actuating ram housed in the lower inner portion and connecting the two portions together.

20

Ideally, with the two-part mast, the means for raising and lowering the boom on the mast comprises the actuating ram and an endless actuating chain led around a pair of vertically spaced apart pulleys in the outer portion, and secured to the outer portion intermediate the pulleys and to the boom.

25

In another embodiment of the invention, there is provided a forklift in which there is mounted adjacent the free end of the boom a ground engaging wheel. A ground engaging wheel may be mounted on a retractable ram to raise and lower the wheel above and below the forks.

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Preferably, the ram is mounted on the fork carrier. Ideally, there is a pair of laterally spaced apart wheels. These assist in taking some of the load and make the movement of loads across platforms easier than heretofore.

Detailed Description of the Invention

5 The invention will be more clearly understood from the following description of some embodiments thereof, given by way of example only, with reference to the accompanying drawings, in which:-

- Fig. 1 is a perspective view of a forklift according to the invention,
- 10 Fig. 2 is a side view of the forklift,
- Fig. 3 is a front view of the forklift,
- Fig. 4 is a perspective view of a mast assembly according to the invention,
- 15 Fig. 5 is a side cut-away view of the mast assembly,
- Fig. 6 is an enlarged perspective view of the rear of portion of the mast assembly,
- 20 Fig. 7 is a perspective view showing the forklift loading a trailer,
- Fig. 8 is a side view of the forklift loading the trailer,
- 25 Fig. 9 is a perspective view of another construction of forklift according to the invention, and
- Fig. 10 is a side view of the forklift of Fig. 9.
- 30 Referring to the drawings, there is provided a forklift, indicated generally by the reference numeral 1, for mounting on the rear of a carrier vehicle. Neither the carrier vehicle or the means for mounting the forklift 1 on it are illustrated as they have no relevance to this description. The forklift 1 comprises a U-shaped chassis, indicated generally by the reference numeral 2, having a rear leg 3 bridged by a pair of

forwardly projecting side legs 4. The chassis 2 is mounted on ground engaging wheels, namely; front wheels 5 and rear wheels 6. A driver station 7 is mounted on one side leg 3 and a motor drive 8 is mounted on the other side leg 3. The driver station has a conventional steering wheel and operating equipment. Similarly, the  
5 motor drive 8 is again of conventional construction.

Forks 9 are mounted on a mast assembly, indicated generally by the reference numeral 10, between the side legs 4. The mast assembly 10 comprises an upright mast 11 carrying a boom, indicated generally by the reference numeral 12, substantially orthogonal thereto. The boom 12 is so mounted to be slidable up and down the mast 11 by means, indicated generally by the reference numeral 16, for raising and lowering the boom 12 on the mast 2. The forks are mounted on a fork carrier, indicated generally by the reference numeral 13, mounted on a free end 14 of the boom 12. Means, indicated generally by the reference numeral 17, are provided  
10 for moving the fork carrier 13 towards and away from the mast 11.  
15

The upright mast 11 is a telescopic two-part mast comprising a lower inner portion 20 and an upper outer portion 21. The lower inner portion 20 houses a hydraulic actuating ram 22 connected to a bracket 30 on the upper outer portion 21. An  
20 actuating chain 3 is mounted on the interior of the outer portion 21 by a bracket 32 and led over a pulley wheel 33 on the bracket 30 and connected to the sleeve 15 by a connector 34. The chain 31 is then led down to a pulley wheel 35 on the exterior of the lower outer portion 21 and back inside the outer portion 21 to the bracket 32. The chain 31 and ram 22 together from the means 16.

25 The boom 12 is also a telescopic boom having an inner section 25 slidable within an outer section 26 which are together mounted within the sleeve 15 and movable relative to the sleeve 15 by the actuating ram 17 which is mounted by a bracket 36 on the sleeve 15 and engages at its other end 31 a bracket 37 on the free end 14 of the  
30 inner section 25 of the boom 12.

The free end 14 of the boom 12 carries a pair of depending brackets 40 between a cross member 41 which mounts the fork carrier 13. The fork carrier 13 comprises a pair of transverse fork carrying beams, namely, an upper beam 42 and a lower beam

43 connected together by brackets 44. The upper beam has conventional fork engaging slots 48. The brackets 44 are connected by fork frame guide rods 45 which project through the brackets 40. Thus, the beams 42 and 43 are laterally slidable relative to the boom 12. Mounted between the brackets 40 is a cylinder 46 of a  
5 double acting side shift ram having piston rods 47 connected to each bracket 44. Thus, the fork carrier 13 and hence the forks 9 can be moved laterally with respect to the boom 11.

Referring specifically to Figs. 1, 4 and 8, the mast 11 is illustrated mounted on a  
10 rectangular frame 50 carrying rollers 51 which engage within grooves 52 in the side legs 4. A pair of frame moving rams 53 are mounted between the frame 50 and the chassis 2 for movement of the frame 50 towards and away from the chassis 2. A tilting ram 55 is mounted by a bracket 56 on the frame 50 and is also connected to the chassis 2 to allow tilting of the mast 11.  
15

In operation, the forklift 1 is mounted on the rear of a carrying vehicle in conventional manner such as described in our Patent No. 0 701 963.

In use and referring specifically to Figs. 7 and 8, where there is illustrated a trailer 60,  
20 onto which is placed a load 61. The forks 9 are illustrated carrying the load 61 in conventional manner. The boom 12 can be moved inwards and outwards by the ram 17 to have the fork carrier 13 assume a position, as illustrated in Fig. 2, close against the mast 11 or in the fully spaced-apart or extended position, as illustrated in Fig. 8.  
25

The mast 11 can be extended or retracted by the actuating ram 22. As the mast 11 is extended, the chain 31 between the pulley 33 and the sleeve 15 shortens and pulls the boom 12 up the mast 11. Simultaneously the chain between the sleeve 15 and the pulley 35 extends. The reverse motion occurs when the mast 11 is retracted.  
30 Similarly, the mast 11 can be moved forwards and backwards by the frame moving ram 53. Thus, loads can be moved across platforms or vehicle bodies in an efficient and easily controlled manner. For very heavy loads, it can be advantageous to have the mast 11 as near as possible to the centre of gravity of the forklift 1. At the same time, the use of the moving support frame can be particularly advantageous where

the forklift 1 can only be moved against a platform and not beneath it, where a load is being taken or removed from the platform. There is full, vertical and horizontal control of the movement of the load. This is advantageous as compared to a telescopic boom forklift, which telescopic boom forklift pivots about the vertical to allow the forks engage a load.

Referring to Figs. 9 and 10, there is illustrated an alternative construction of forklift, again identified generally by the reference numeral 1, in which parts similar to those described with reference to the previous drawings, are identified by the same reference numeral. In this embodiment, on the fork carrier 13 is mounted, by means of retractable rams 65, a pair of ground engaging wheels 66. In operation, the wheels 66 can be raised and lowered to help support the load. This will also tend to equalise the loading on the forklift and reduce the moment causing the rear wheels of the forklift 1 to raise off the ground. Suitable controls can be provided to raise and lower the wheels 66. It is envisaged that instead of being mounted, as illustrated, with the rams outside the fork carrier, preferably they may be mounted within the frame of the fork carrier.

While in the embodiment described above the boom is a telescopic boom, it is not necessary to have a telescopic boom and the forks or more strictly the fork carrier could be slidably on a rigid boom. All that is required is that the forks be movable in the x and y axis.

In the specification the terms "comprise, comprises, comprised and comprising" or any variation thereof and the terms "include, includes, included and including" or any variation thereof are considered to be totally interchangeable and they should all be afforded the widest possible interpretation and vice versa.

The invention is not limited to the embodiment hereinbefore described, but may be varied in both construction and detail within the scope of the appended claims.

**CLAIMS**

1. A forklift (1) for mounting on the rear of a carrying vehicle of the type comprising:-

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a U-shaped chassis (2) having a rear leg (3) bridged by a pair of forwardly projecting side legs (4),

ground engaging wheels (5, 6)

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a driver station mounted on one side leg (7),

a motor drive (8) mounted on the other side leg (7); and

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forks (9) mounted on a mast assembly (10) located between the side legs (4) of the chassis (2) characterised in that the mast assembly (10) comprises:-

an upright mast (11) mounted on the chassis (2);

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a boom (12) substantially orthogonal to the mast (11) and slidable thereon;

means (16) for raising and lowering the boom (12) on the mast (2);

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a fork carrier (13) mounted on a free end (14) of the boom (12) carrying the forks (9); and

means (17) for moving the fork carrier (13) towards and away from the mast (11).

30

2. A forklift (1) as claimed in claim 1, in which the fork carrier (13) is mounted on a free end of the boom (12) and the boom (12) is movable orthogonally with respect to the mast (11).

3. A forklift (1) as claimed in claim 1 or 2, in which the boom (11) is telescopic.
4. A forklift (1) as claimed in claim 3, in which the boom (11) is slidably mounted by a sleeve (15) on the mast (11) and an actuating ram (17) is connected between the sleeve (15) and the free end (14) of the boom (12).
5. A forklift (1) as claimed in any preceding claim, in which the mast (11) is pivotally mounted on the chassis (2) between the side legs (4) and a tilting ram (55) is providing for tilting of the mast (11) relative to the chassis (2).
- 10 6. A forklift (1) as claimed in any preceding claim, in which the mast assembly (10) is movable between the side legs (4) towards and away from the rear leg (3).
7. A forklift (1) as claimed in claim 5 and 6, in which the mast (11) is pivotally mounted on a support frame (50), a tilting ram (55) is mounted between the support frame (50) and the mast (11) and the support frame (50) is slidably mounted and movable between the side legs (4) by a frame moving ram (53).
- 15 8. A forklift (1) as claimed in any preceding claim, in which the mast (11) is telescopic.
- 20 9. A forklift (1) as claimed in claim 8, in which the mast (11) is hollow and has an actuating ram (22) mounted therein.
- 25 10. A forklift (1) as claimed in claim 8, in which the mast is a two-part mast comprising a lower inner portion (20) and an embracing upper outer portion (21) and an actuating ram (22) housed in the lower inner portion (20) and connecting the two portions (21, 22) together.
- 30 11. A forklift (1) as claimed in claim 10 in which the means 16 for raising and lowering the boom (12) on the mast 2 comprises the actuating ram 22 and an endless actuating chain led around a pair of vertically spaced apart pulleys in the outer portion (21), and secured to the outer portion (21) intermediate the pulleys and to the boom (12).

12. A forklift (1) as claimed in any preceding claim, in which there is mounted adjacent the free end (14) of the boom (12) a ground engaging wheel (66).

5       13. A forklift (1) as claimed in claim 12 in which the ground engaging wheel (66) is mounted on a retractable ram (65) to raise and lower the wheel (66) above and below the forks (9).

10      14. A forklift (1) as claimed in claim 13 in which the ram (65) is mounted on the fork carrier (13).

15      15. A fork lift (1) as claimed in any of claims 12 to 14 in which there is a pair of laterally spaced apart wheels (66).

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30

**Abstract**

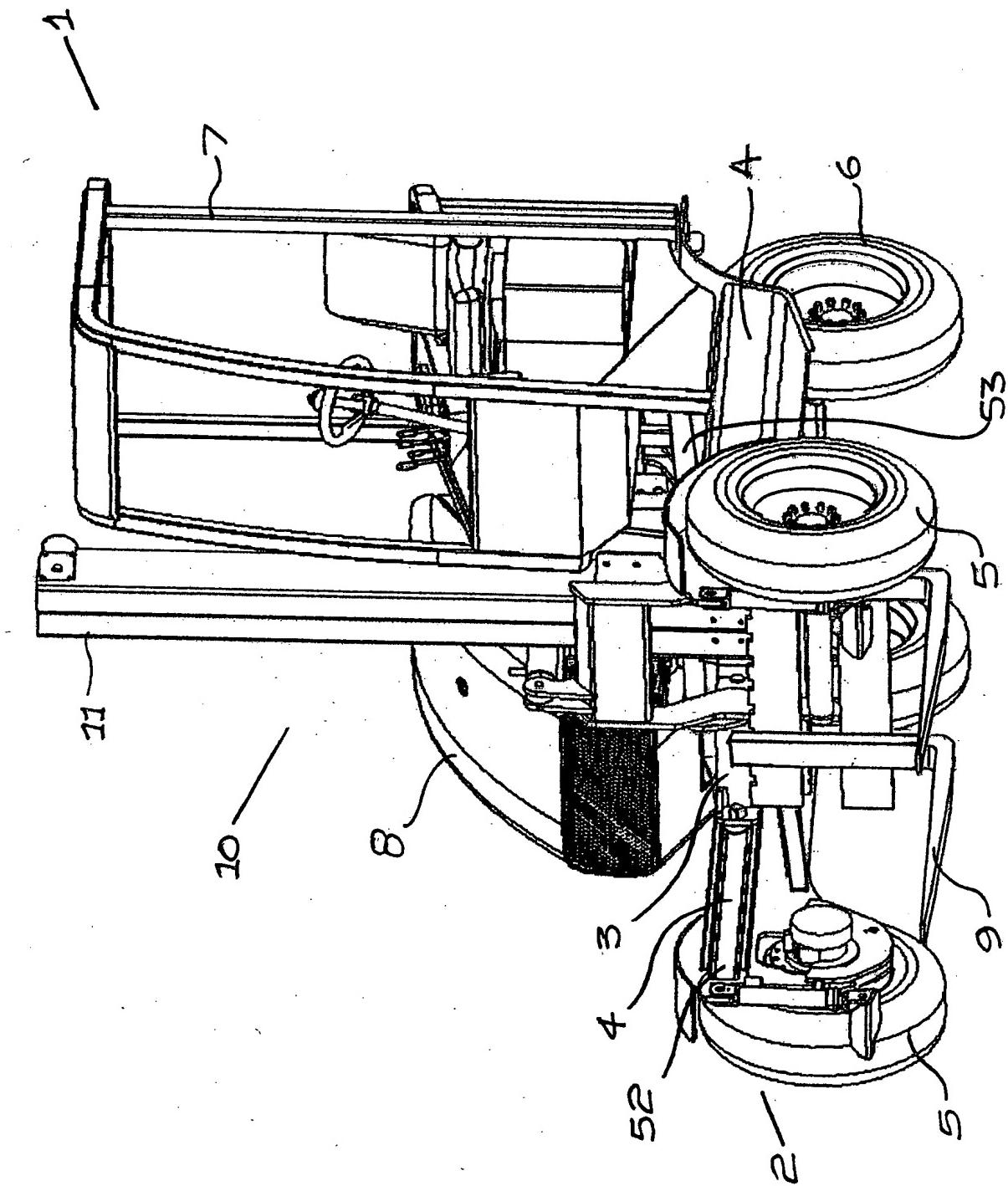
**"Improvements in and relating to forklift trucks"**

5

A forklift (1) for mounting on a carrier vehicle which has a two-part upright mast (11) and a telescopic boom (12) substantially orthogonal to the mast (11) slidable up and down the mast (11) to move a fork carrier (13) on the boom in both a horizontal and a vertical direction. Retractable wheels may be provided to support forks (9) on a  
10 loading bay floor or truck chassis.

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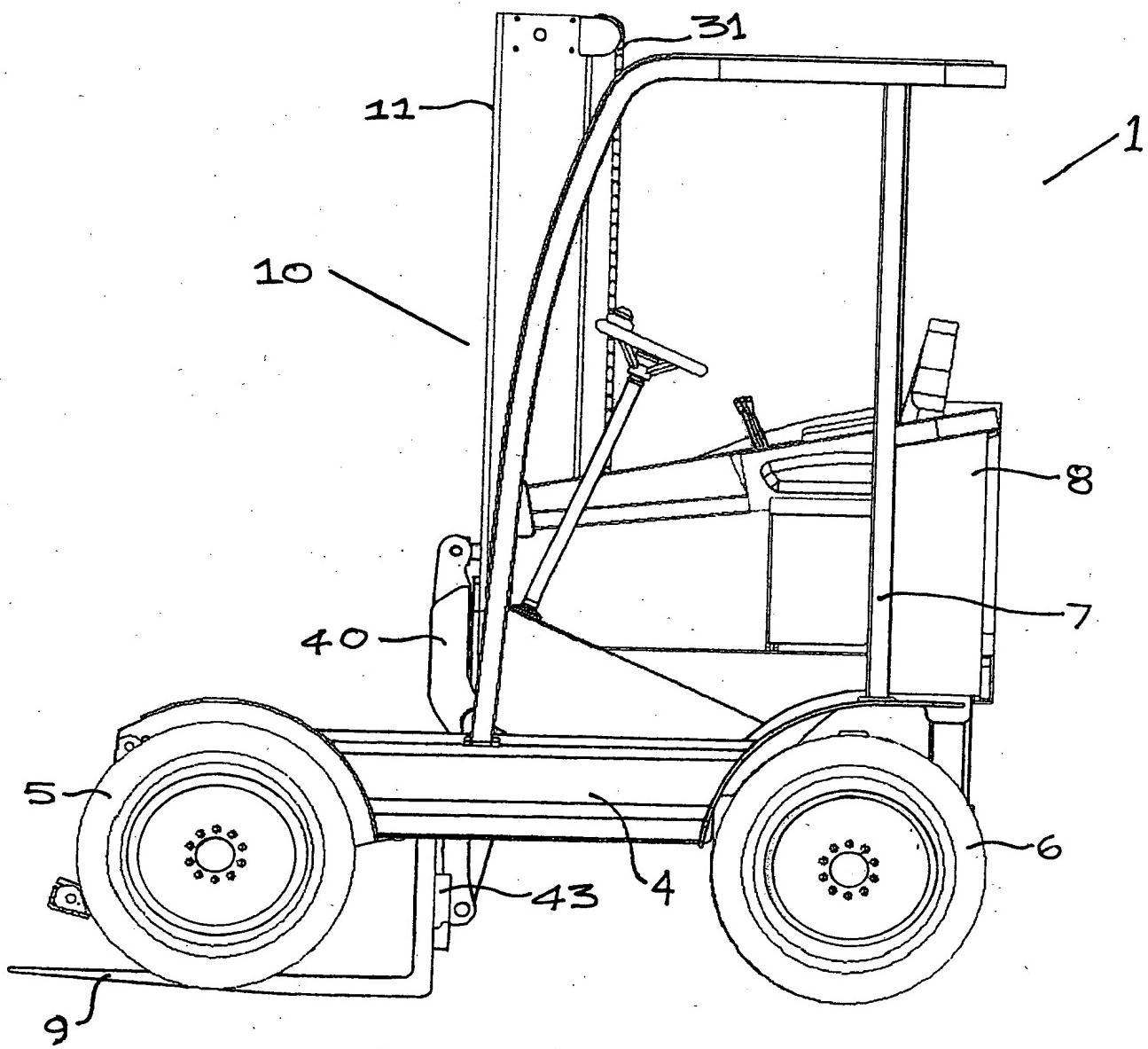


FIG. 2

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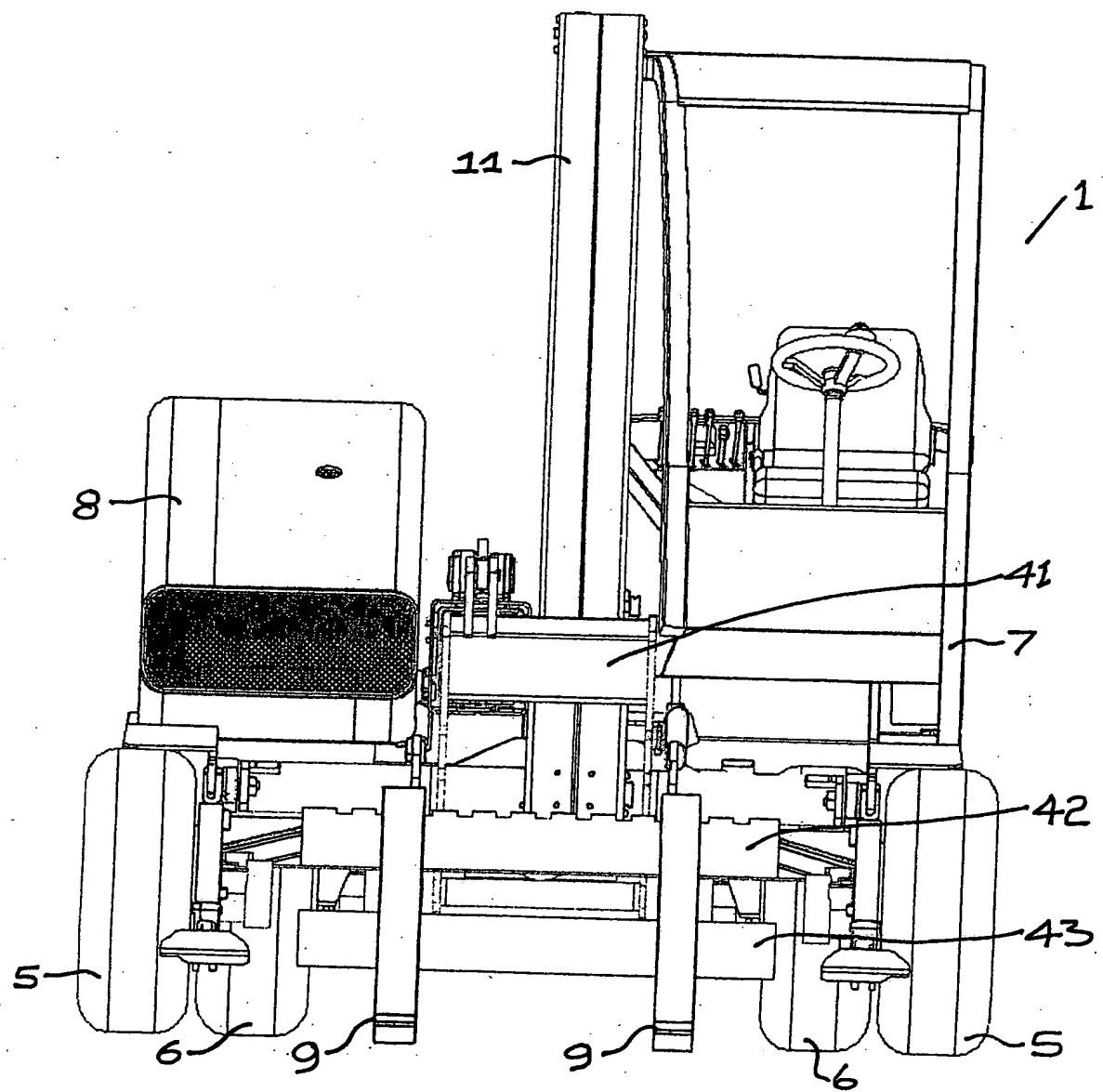


Fig.3

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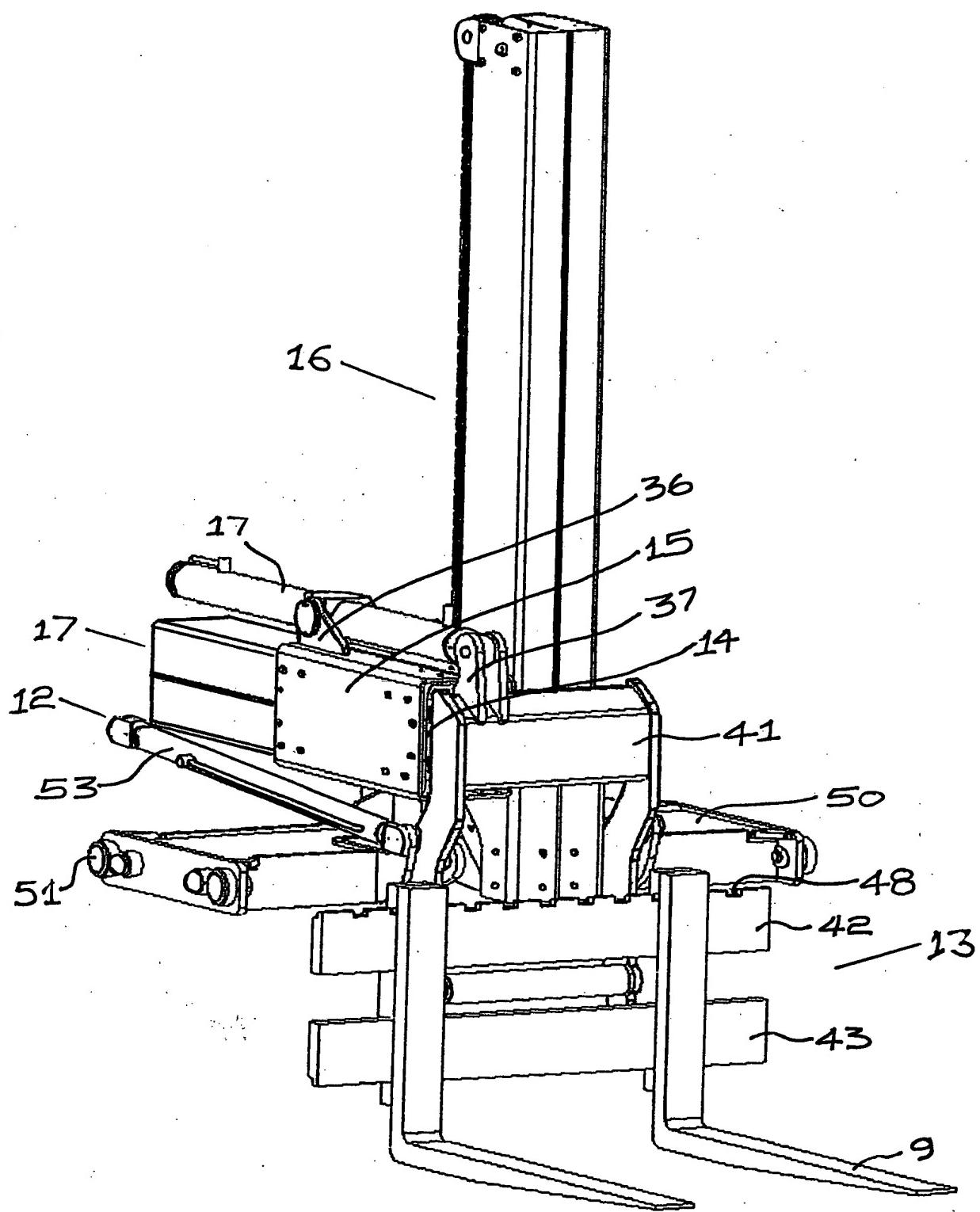


Fig. 4

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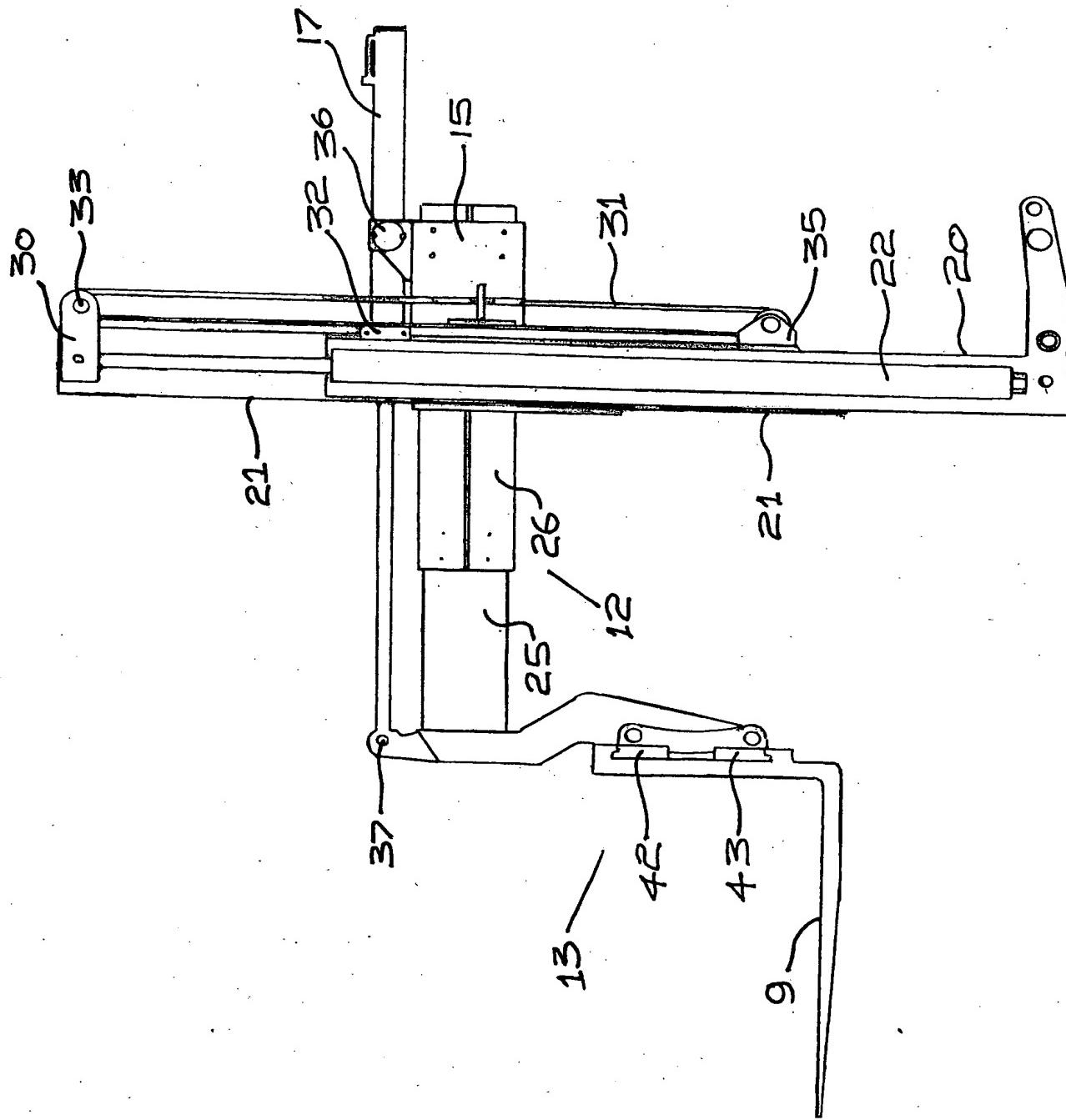


Fig. 5

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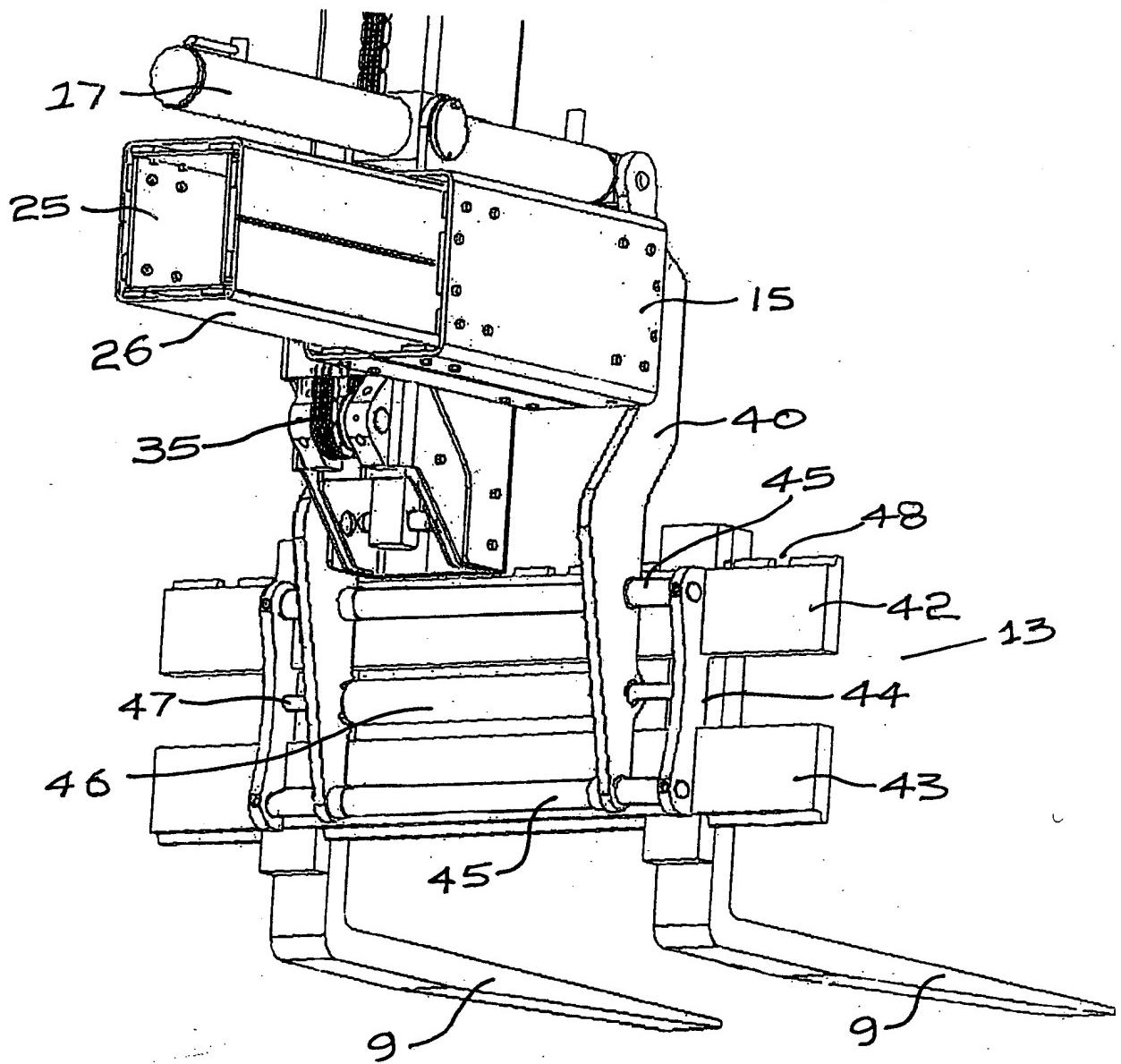
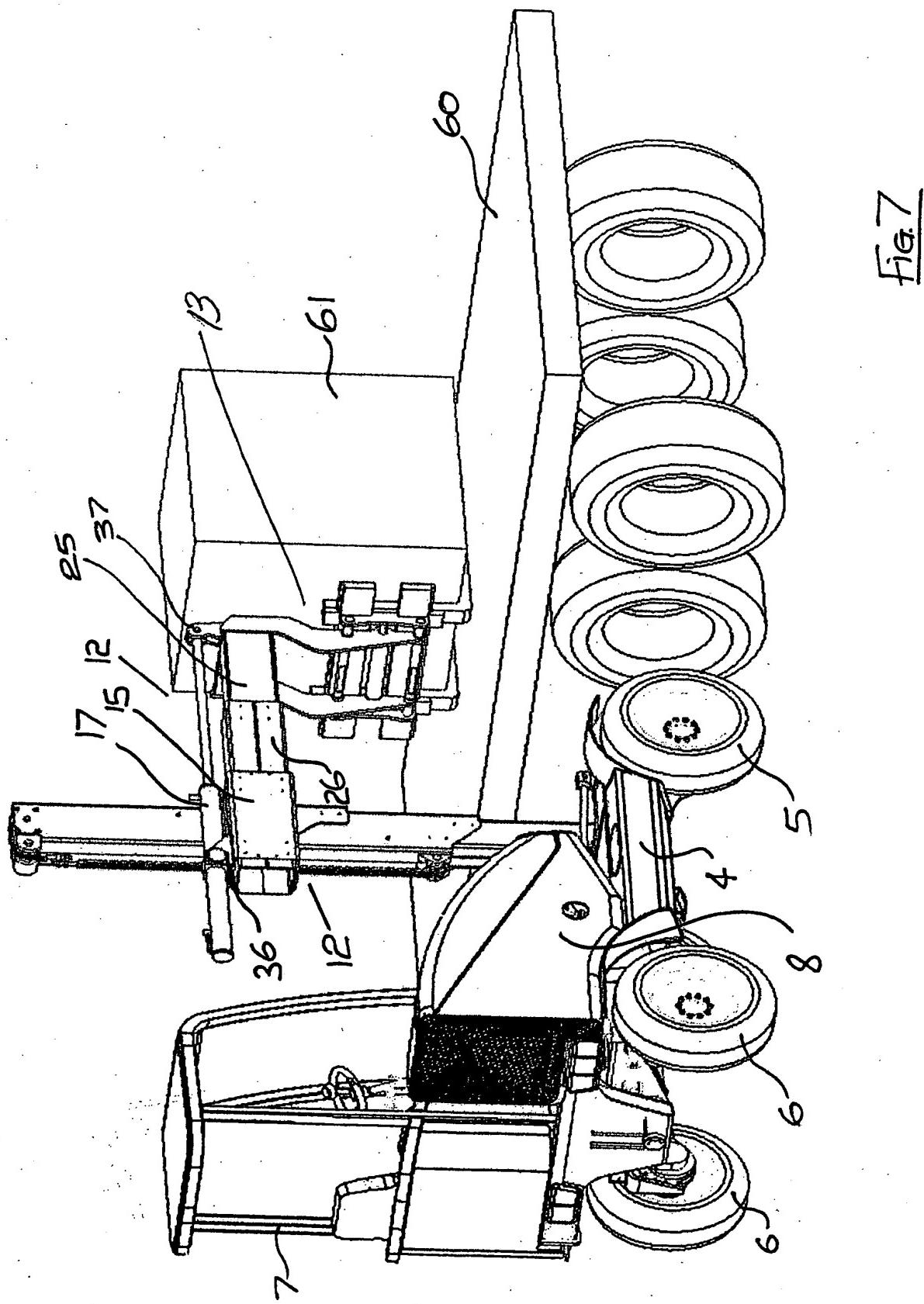


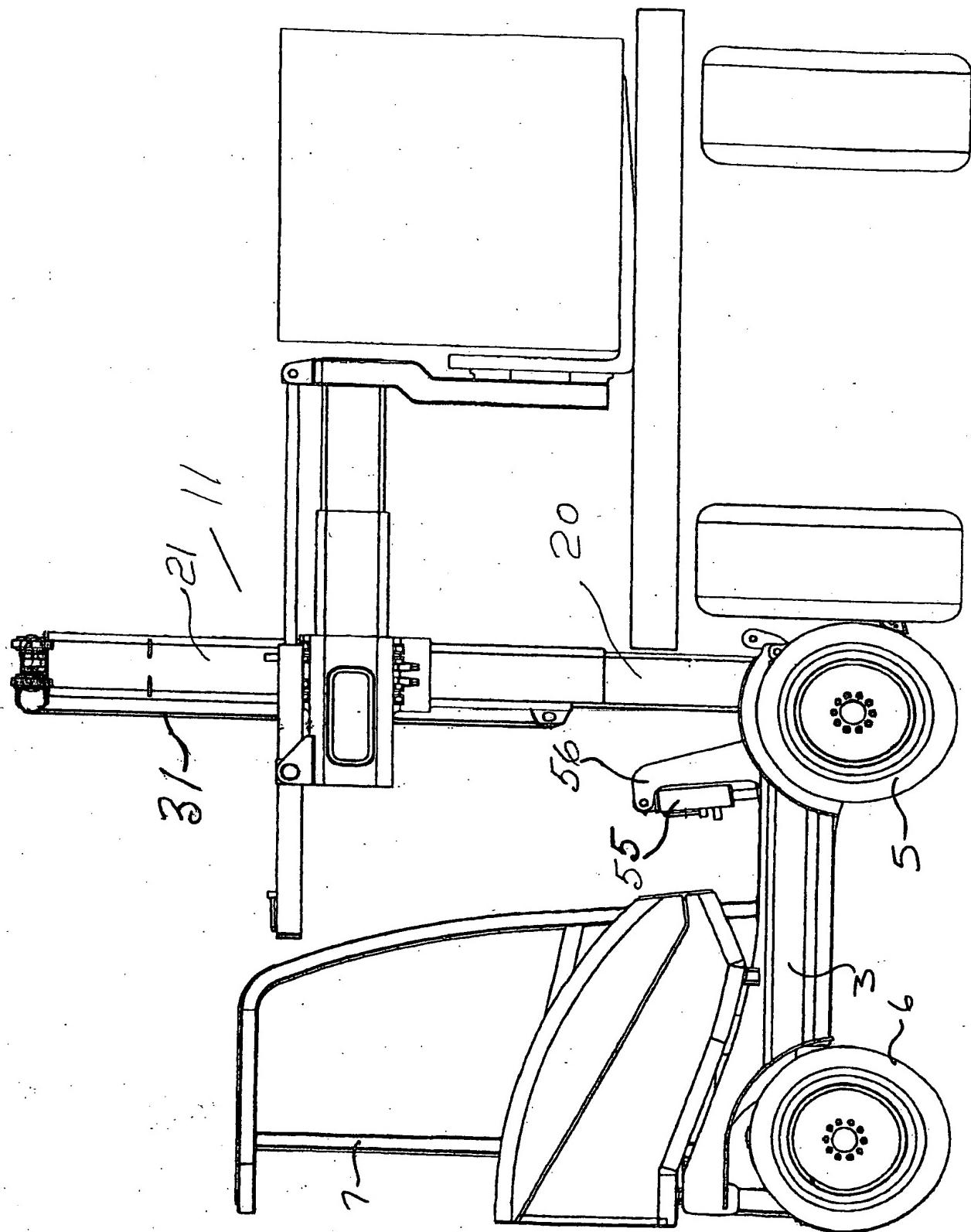
Fig. 6

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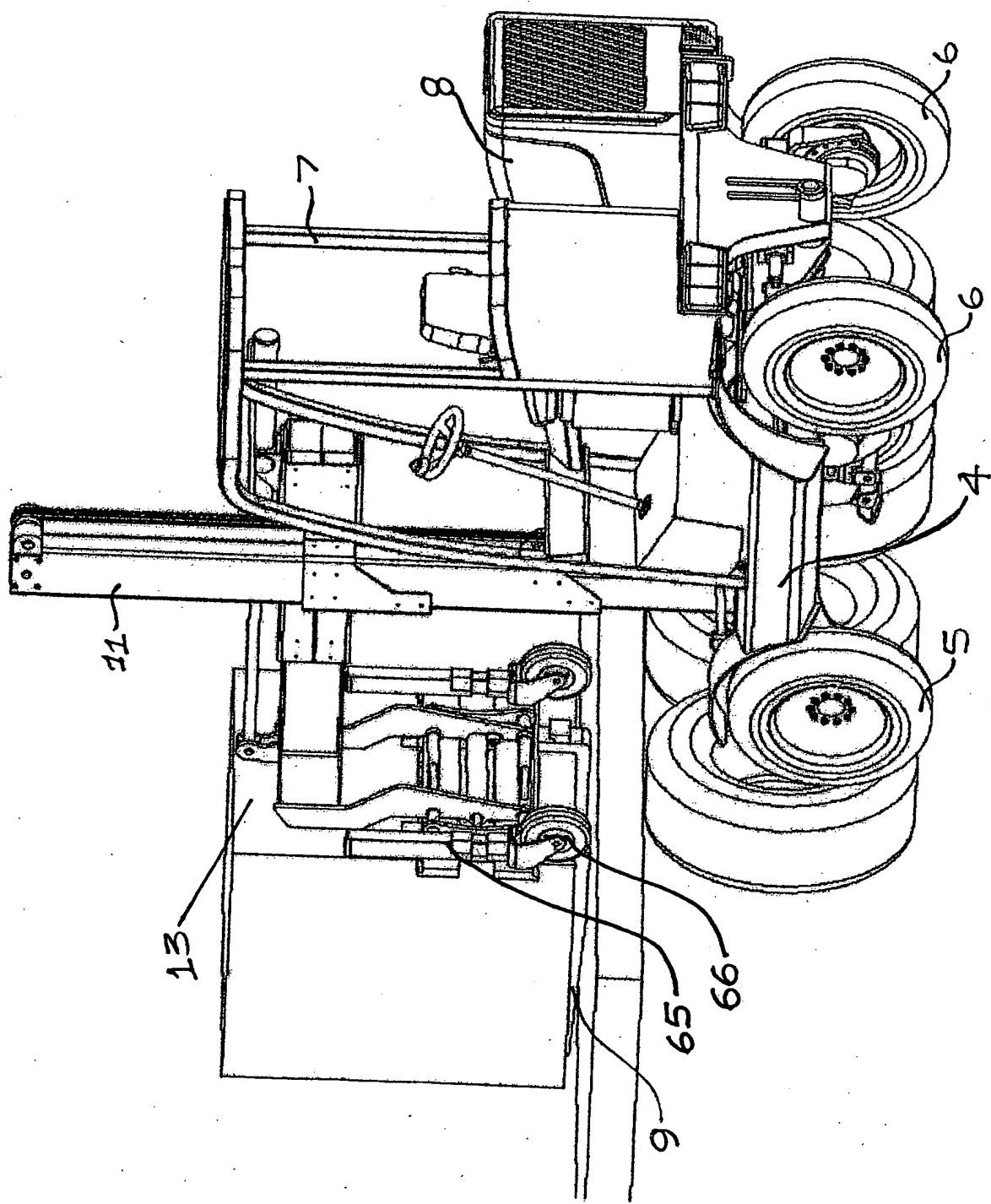
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Fig. 8



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Fig. 9



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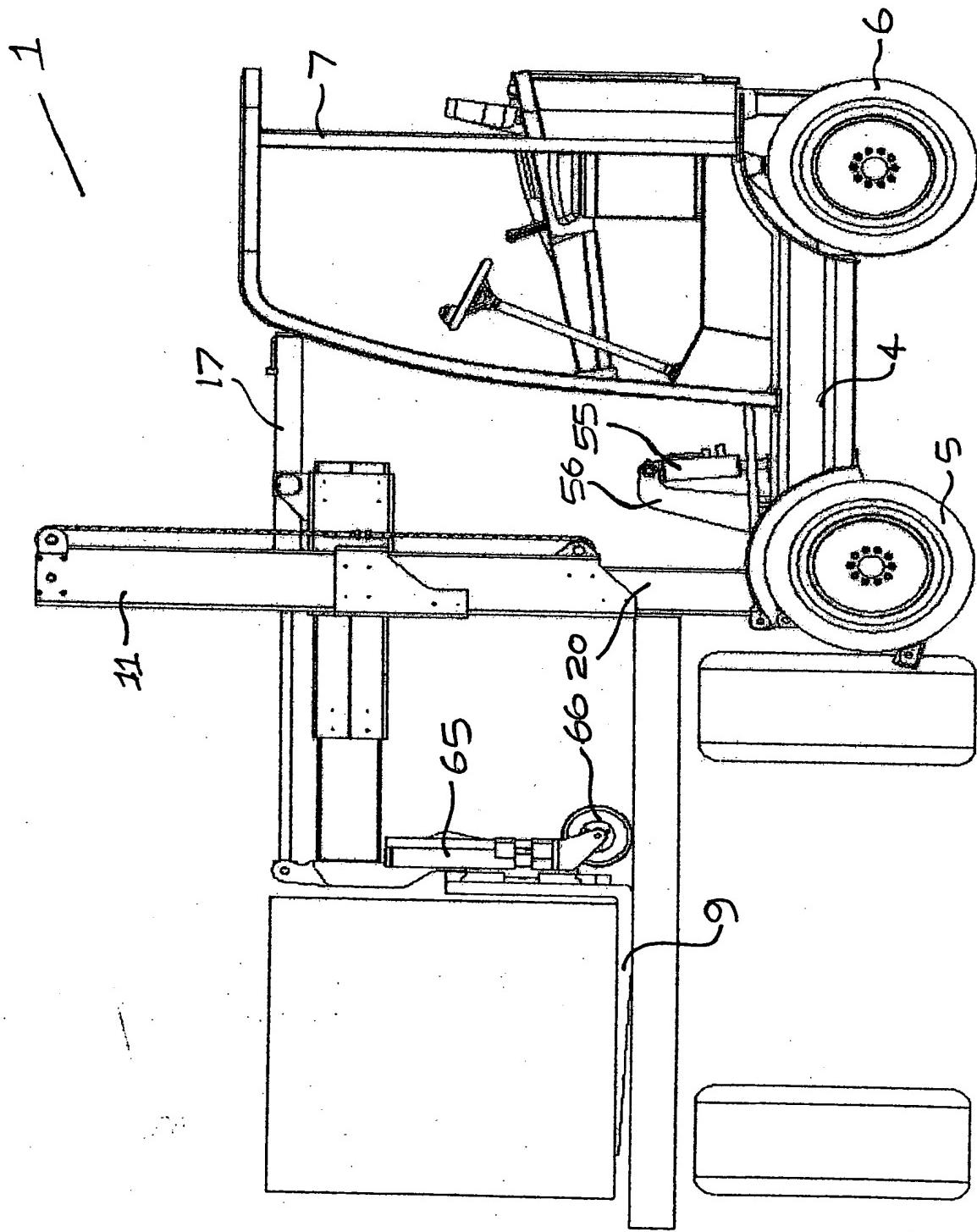


Fig.10